# Achievements, Open Problems and Challenges for Search based Software Testing

University College London

## ICST 2015

8th IEEE International Conference on Software Testing, Verification and Validation



### Achievements, open problems and challenges for search based software testing

Mark Harman, Yue Jia and Yuanyuan Zhang University College London, CREST Centre, London, UK

Abstract—Search Based Software Testing (SBST) formulates testing as an optimisation problem, which can be attacked using computational search techniques from the field of Search Based Software Engineering (SBSE). We present an analysis of the SBST research agenda<sup>1</sup>, focusing on the open problems and challenges of testing non-functional properties, in particular a topic we call 'Search Based Energy Testing' (SBET), Multi-objective SBST and SBST for Test Strategy Identification. We conclude with a vision of FIFIVERIFY tools, which would automatically find faults, fix them and verify the fixes. We explain why we think such FIFIVERIFY tools constitute an exciting challenge for the SBSE community that already could be within its reach.

### I. INTRODUCTION

Search Based Software Testing (SBST) is the sub-area of Search Based Software Engineering (SBSE) concerned with software testing [2], [85]. SBSE uses computational search techniques to tackle software engineering problems (testing problems in the case of SBST), typified by large complex search spaces [58]. Test objectives find natural counterparts as the fitness functions used by SBSE to guide automated search, thereby facilitating SBSE formulations of many (and diverse) testing problems. As a result, SBST has proved to be a widely applicable and effective way of generating test data, and optimising the testing process. However, there are many exciting challenges and opportunities that remain open for further research and development, as we will show in this paper.

It is widely believed that approximately half the budget spent on software projects is spent on software testing, and therefore, it is not surprising that perhaps a similar proportion of papers in the software engineering literature are concerned with software testing. We report an updated literature analysis from which we observe that approximately half of all SBSE papers are SBST papers, a figure little changed since the last thorough publication audit (for papers up to 2009), which found 54% of SBSE papers concerned SBST [56]. Many excellent and detailed surveys of the SBST literature can be found elsewhere [2], [4], [55], [85], [126]. Therefore, rather than attempting another survey, we provide an analysis of [103]. Sauder formulates the test generation problem as one SBST research trends, focusing on open challenges and areas of finding test inputs from a search space, though the search for future work and development.

### II. A BRIEF HISTORY OF SBST

Since the first paper on SBST is also likely to be the first paper on SBSE, the early history of SBST is also the early history of SBSE. SBSE is a sub-area of software engineering with origins stretching back to the 1970s but not formally established as a field of study in its own right until 2001 [51], and which only achieved more widespread acceptance and uptake many years later [38], [43], [100].

The first mention of software optimisation (of any kind) is almost certainly due to Ada Augusta Lovelace in 1842. Her English language translation of the article (written in Italian by Menabrae), 'Sketch of the Analytical Engine Invented by Charles Babbage' includes seven entries, labelled 'Note A' to 'Note G' and initialed 'A.A.L'. Her notes constituted an article themselves (and occupied three quarters of the whole document). In these notes we can see perhaps the first recognition of the need for software optimisation and source code analysis and manipulation (a point argued in more detail elsewhere [44]):

"In almost every computation a great variety of arrangements for the succession of the processes is possible, and various considerations must influence the selection amongst them for the purposes of a Calculating Engine. One essential object is to choose that arrangement which shall tend to reduce to a minimum the time necessary for completing the calculation." Extract from 'Note D'.

The introduction of the idea of software testing is probably due to Turing [115], who suggested the use of manually constructed assertions. In his short paper, we can find the origins of both software testing and software verification. The first use of optimisation techniques in software testing and verification probably dates back to the seminal PhD thesis by James King [67], who used automated symbolic execution to capture path conditions, solved using linear programming. The first formulation of the test input space as a search space probably dates back seven years earlier to 1962, when a Cobol test data generation tool was introduced by Sauder algorithm is random search, making this likely to be the first paper on Random Test Data Generation. Sauder's work is also significant because it introduces the idea of constraints to capture path conditions, although these constraints are manually defined and not automatically constructed.

# There is a paper accompany this keynote



<sup>&</sup>lt;sup>1</sup>This keynote was given by Mark Harman at the 8th IEEE International Conference on Software Testing, Verification and Validation (ICST 2015), but this paper, on which the keynote was based, is the work of all three authors.

### Achievements, open problems and challenges for search based software testing

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### Yuanyuan Zhang

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## Yue Jia **Technical work** and considerable help with slides

... and he's here in Graz too





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**Search Based** Optimization

History ICST'15 Achievements, Open Problems and Challenges for SBST

# What is SBST

Software Testing

In SBST we apply search techniques to search large search spaces, guided by a fitness function that captures natural counterparts as test objectives.

Particle Swarm Optimization Tabu Search Ant Colonies Genetic Algorithms Hill Climbing Genetic Programming Simulated Annealing Random LP Greedy Estimation of Distribution Algorithms

History ICST'15 Achievements, Open Problems and Challenges for SBST

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History ICST'15 Achievements, Open Problems and Challenges for SBST

# What is SBSE

## History of

1842



### Search-based software engineering

<sup>a</sup>Department of Information Systems and Computing, Brunel University, Uxbridge, Middlesex, UB8 3PH, UK <sup>b</sup>School of Computing, University of Glamorgan, Pontypridd, CF37 1DL, UK

### Abstract

This paper claims that a new field of software engineering research and practice is emerging: search-based software engineering. The paper argues that software engineering is ideal for the application of metaheuristic search techniques, such as genetic algorithms, simulated annealing and tabu search. Such search-based techniques could provide solutions to the difficult problems of balancing competing (and some times inconsistent) constraints and may suggest ways of finding acceptable solutions in situations where perfect solutions are either theoretically impossible or practically infeasible.

In order to develop the field of search-based software engineering, a reformulation of classic software engineering problems as search problems is required. The paper briefly sets out key ingredients for successful reformulation and evaluation criteria for search-based software engineering. © 2001 Elsevier Science B.V. All rights reserved.

Keywords: Software engineering; Metaheuristic; Genetic algorithm

Information and Software Technology 43 (2001) 833-839

www.elsevier.com/locate/infsof

INFORMATION AND

SOFTWARE TECHNOLOGY

Mark Harman<sup>a,\*</sup>, Bryan F. Jones<sup>b,1</sup>





"In almost every computation a great variety of arrangements for the succession of the processes is possible, and various considerations must influence the selection amongst them for the purposes of a Calculating Engine. **One essential object is to choose that arrangement which shall tend to reduce to a minimum the time necessary for completing the calculation**."





Extract from 'Note D'.



### The first mention of software optimisation 1842





### Checking a large routine by Dr. A. Turing

In this shot paper, Turing suggested the use of manually constructed assertions and we can find the origins of **both** software testing and software verification.



### The introduction of the idea of software testing and verification 1949

### Friday, 24th June,

Checking a large routine, by Dr. A. Turing.

How can one check a routine in the sense of making sure that it is right?

In order that the man who checks may not have too difficult a task the programmer should make a number of definite assertions which can be checked individually, and from which the correctness of the whole programme easily follows.

Consider the analogy of checking an addition. If it is given as:

1374 5906 6719 4337 7768	
26104	







Sauder formulates the test generation problem as one of finding test inputs from a search space, though the search algorithm is random search, making this likely to be the first paper on **Random Test Data Generation**.

### Formulation of the test input space as a search space 1962

### A GENERAL TEST DATA GENERATOR FOR COBOL

### Lt. Richard L. Sauder

Automation Techniques Branch Headquarters, Air Force Logistics Command Weight-Patterson Air Force Base, Ohio

This article discusses the effort being male by the Air Force Logistics Command in developing a method of generating effective program test data. This Test Data Generator is designed to operate in conjunction with the CCHCL compiler implemented by AFLC. As such, the system not only builds data conforming to descriptions given In the Data Division of a COBCL program but also places in these items necessary data relationships to test the logic of the COBCL program. Both the utilization and the method of operation of the system are discussed in this paper.

### introduction

One of the major underdeveloped areas that still exists in the development of programming techniques is that of insuring misquate checkout of programs before release for use. Often the logical paths within a program are highly complax. The affort to insure that each of these is functionally correct can be prohibitive - so much so that only the most obvious and most frequently suployed segments of the program are tested thoroughly.

nest criterion is that of controlling the content of these elements.

The method of operation and the information necessary to fulfill these functions will now be described in dstatl.

### Utilization of the CONCL Data Division

Determining the format of data fields results from a thorough interpretation of the Data Division of the CODCL source program being tested. Within this Division, options exist to describe in detail both the structure of every element within a record and the relationships of these elements to one another. Those options which affect the generation of data are defined as follows:

2. Level number to establish the relationship of one unit of data to others.

Size in number of characters. Class indicating the type of data, i.e., alphabetic, numeric, alphanumeric.

5. Usage to establish the number a





317



### The seminal PhD thesis by James King

James King used automated symbolic execution to capture path conditions, solved using linear programming



### The first use of optimisation techniques in software testing and verification 1969



The first pap SELECT --- A FORMAL SYSTEM FOR 1975 TESTING AND DESUGGING PROCAUES OF STABOLIC EXECUTION Stanford Research Institu Menlo Park, California



vatrais the test data to desire

"We therefore considered various alternatives that would not be subject to this limitation. The most promising of these alternatives appears to be a conjugate gradient algorithm ('hill climbing' program) that seeks to minimise a potential function constructed from the inequalities."







# The first paper to use a meta-heuristic search technique 1975

SELECT---A FORMAL SYSTEM FOR "ESTING AND DEBUGGING PROGRAMS BY SYMBOLIC EXECUTION\*

Robert S. Boyer Bernard Elspas Karl N. Levitt Computer Science Group Stanford Research Institute Menlo Park, California 94025

<u>Keywords</u>: Program Testing; Test Data Generation; Symbolic Execution; Program Verification; Program Debugging; Solution of Systems of Inequalities.

### Abstract

SELECT is an experimental system for assisting in the formal systematic debugging of programs. It is intended to be a compromise between an automated program proving system and the current ad hoc de-





that serve to co regions.

A. Mechanical p shortcomings

Much attention cost of producin quency of latent effort has been

At about the same time, Miller and Spooner were also experimenting with optimisationbased approaches for generating test data (which they refer to as 'test selection' in the sense that they 'select' from the input space, which, in the more recent literature we would refer to as 'test data generation').







### The use of optimisation-based approach for 'test selection' 1976

IEEE TRANSACTIONS ON SOFTWARE ENGINEERING, VOL. SE-2, NO. 3, SEPTEMBER 1976

### Automatic Generation of Floating-Point Test Data

WE88 MILLER AND DAVID L. SPOONER.

1980

Abstract-For numerical programs, or more generally for programs with floating-point data, it may be that large savings of time and storage are made possible by using numerical maximization methods nstead of symbolic execution to generate test data. Two examples, matrix factorization subroutine and a sorting method, illustrate the types of data generation problems that can be successfully treated. with such maximization techniques.

Index Terms-Automatic test data generation, branching, data constraints, execution path, software evaluation systems.

### INTRODUCTION

ESEARCH in program evaluation and verification has only rarely (e.g., [1]) begun with the explicit requireent that the program deal with real numbers as opposed to integers. This may be an oversight since there are theoretical results which suggest the desirability of this assumption. Specifically, a general procedure of Tanki [2] shows that certain properties, undecidable (in the technical sense) for "integer" programs, are decidable for "numerical" programs. Examples of this phenomenon arise when one asks if there exists a set of data driving execution of a certain kind of program down a given path.

Moreover, there is practical evidence supporting the case for

"heuristic" in that it is not guaranteed to produce a set of test data executing a given path whenever such data exist. (On the other hand, we know of no guaranteed data generation scheme whose execution time does not, in the worst case, grow at least exponentially with the length of the execution path.)

### NUMERICAL MAXIMIZATION METHODS FOR GENERATING TEST DATA

Given the problem of generating floating-point test data our approach begins by fixing all integer parameters of the given program (e.g., the dimensions of the data in a matrix program or the number of iterations in an iterative method) so that the only unresolved decisions controlling program flow are comparisons involving real values. Then, as will be seen, an execution path takes the form of a straight-line program of floating-point assignment statements interspersed with "path constraints" of the form  $c_i = 0$ ,  $c_i > 0$ , or  $c_i > 0$ . Each  $c_i$  is a data-dependent real value possibly defined in terms of previously computed results. For instance, a path which takes the true branch of a test "IF(X.NE.Y)" has a constraint c > 0, where, e.g., c = ABS(X - Y) or  $c = (X - Y)^2$ . (We will not discuss

1980

1975

70



223

It appears that SBST research lay dormant for at approximately a decade until the work of Korel, which introduced a practical test data generation approach, the **Alternating Variable Method** (AVM), based on hill climbing.





effort has been expended in testing and debugging. the set of latent bugs even after a great deal of the set of the s of latent burn aven after and to the fre-

### A practical test data generation approach based on A 1990

870

### Automated Software Test Data Generation

BOGDAN KOREL, MEMBER, HEEE

Abstract-Test data generation in program testing is the process of identifying a set of test data which satisfies given testing criterion. Most of the existing test data generators [6], [8], [10], [16], [30] use symbolic evaluation to derive test data. However, in practical programs this technique frequently requires complex algebraic manipulations, especially in the presence of arrays. In this paper we present an alternative approach of test data generation which is based on actual execution of the program under test, function minimization methods, and dynamic data flow analysis. Test data are developed for the program using actual values of input variables. When the program is executed, the program execution flow is monitored. If during program execution an undesirable execution flow is observed (e.g., the "actual" path does not correspond to the selected control path) then function minimization search algorithms are used to automatically locate the values of input variables for which the selected path is traversed. In addition, dynamic data flow analysis is used to determine those input variables responsible for the undesirable program behavior, leading to significant speedup of the search process. The approach of generating test data is then extended to programs with dynamic data structures, and a search

tomatically generate test data that meet the selected criterion. The basic operation of the pathwise generator consists of the following steps: program control flow graph construction, path selection, and test data generation. The path selector automatically identifies a set of paths (e.g., near-minimal set of paths) to satisfy selected testing criterion. Once a set of test paths is determined, then for every path in this set the test generator derives input data that results in the execution of the selected path.

Most of the pathwise test data generators [6]. [8]. [10]. [16], [30] use symbolic evaluation to derive input data. Symbolic evaluation involves executing a program using symbolic values of variables instead of actual values. Once a path is selected, symbolic evaluation is used to generate a path constraint, which consists of a set of equalities and inequalities on the program's input vari-





The first use of genetic algorithms for software engineering problems is usually attributed also to the field of SBST, with the work of Xanthakis et al., who introduced a genetic algorithm to develop whole test suites.



### The first use of genetic algorithm to develop whole test suites 1992

### Application of genetic algorithms to software testing

Authors	S Xanthakis, C Ellis, C Skourlas, A Le Gall, S Kats
Publication date	1992/12/7
Journal	Proceedings of the 5th International Conference or
Pages	625-636
Total citations	Cited by 156

1997 2001

> Formany 2001



Search-based software engineering Mark Harman<sup>6,8</sup>, Bryan F. Jones<sup>6,1</sup> Annual Channellis Constanting Mathematic Parts WPI (18 Annual Channellis Constanting Constanting Constanting Constanting Constanting Constanting Constanting Constanting

d approach for 'test selection'

ikas, K Karapoulios

n Software Engineering and its Applications









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1992

Application of genetic algorithms to software testing Authors S Xanthakis, C Ellis, C Skourlas, A Le Gall, S Katsikas, K Karapoulos Publication date 1992/12/7 Proceedings of the 5th International Conference on Software Engineering and its Applications Journal Pages 625-636 Total citations Cited by 156 993 1994 1995 1996 1997 1996 1999 2000 2001 2002 2003 2004 2005 2006 2007 2008 2009 2010 2012 20

# d approach for 'test selection'

# 2001



Search-based software engineering





### Formally established as a filed of study with SBSE

Information and Software Technology 43 (2001) 833-839

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The data is taken from the SBSE Careful human-based update 100% precision and recall

Analysis ICST'15 Achievements, Open Problems and Challenges for SBST

# Analysis of Trends in SBST

### SBSE REPOSITORY

This page collects the work which address the software engineering problems using metaheuristic search optimisation techniques (i. e. Genetic Algorithms) into the Repository of Publications on Search Based Software Engineering



- SBSE repository is maintained by Yuanyuan Zhang
- 1389 relevant publications are included
- Last updated on the 3 February 2015
- SBSE Authors on Google Scholar

enter



The number of publications in the year from 1976 to 2012.



The ratio of SE research fields that involved SBSE.



The ratio of publications number in the world countries.







### Polynomial yearly rise in the number of papers Search Based Software Testing





# The changing ratio SBSE to SBST



### **SBST papers at ICST**

**Reformulating Branch Coverage as a Many-Objective Optimization Problem** Annibale Panichella, Fitsum Meshesha Kifetew and Paolo Tonella

Behind an Application Firewall, Are We Safe from SQL Injection Attacks? Dennis Appelt, Cu D. Nguyen, Lionel Briand

Exploring Test Suite Diversification and Code Coverage in Multi-Objective Test Case Selection Debajyoti Mondal, Hadi Hemmati, and Stephane Durocher

Guided Test Generation for Finding Worst-Case Stack Usage in Embedded Systems **Tingting Yu and Myra B. Cohen** 

**Re-using Generators of Complex Test Data** Simon Poulding and Robert Feldt

Shaukat Ali and Tao Yue

History-Based Test Case Prioritization for Black Box Testing using Ant Colony Optimization Tadahiro Noguchi, Hironori Washizaki, Yoshiaki Fukazawa, Atsutoshi Sato and Kenichiro Ota

**Combining Minimization and Generation for Combinatorial Testing** Itai Segall, Rachel Tzoref-Brill and Aviad Zlotnick.

Analysis CST'15 Achievements, Open Problems and Challenges for SBST

- U-Test: Evolving, Modelling and Testing Realistic Uncertain Behaviours of Cyber-Physical Systems



![](_page_29_Picture_4.jpeg)

![](_page_30_Picture_0.jpeg)

![](_page_30_Picture_3.jpeg)

![](_page_31_Picture_0.jpeg)

![](_page_31_Picture_3.jpeg)

![](_page_32_Picture_0.jpeg)

![](_page_32_Picture_5.jpeg)

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### History ICST'15 Achievements, Open Problems and Challenges for SBST





















































### SBST's Industrial Applications and Tools



Joachim Wegener and Oliver Bühler. GECCO 2004

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### DAIMLER



### SBST's Industrial Applications and Tools

### Table I

VARIABLES OF INTEREST FOR THE PREDICTION MODELS.

	No.	Description	Abbreviation	Category
ĺ	1	Fault in-flow	F. in-flow	Fault-infl
ľ	2	No. of work packages planned for system integration	No. WP. PL. SI	Status ra
				ings of W
	3	No. of work packages delivered to system integration	No. WP. DEL. SI	
	4	No. of work packages tested by system integration	No. WP Tested. SI	
ľ	5	No. of faults slipping through to all of the testing	No. FST	FST
		phases		
	6	No. of faults slipping through to the unit testing	FST-Unit	
	7	No. of faults slipping through to the function testing	FST-Func	
	8	No. of faults slipping through to the integration testing	FST-Integ	
	9	No. of faults slipping through to the system testing	FST-Sys	
ľ	10	No. of system test cases planned	No. System. TCs. PL	TC progr
	11	No. of system test cases executed	No. System. TCs.	
			Exec.	
	12	No. of interoperability test cases planned	No. IOT TCs. PL	
	13	No. of interoperability test cases executed	No. IOT TCs. Exec.	
	14	No. of network signaling test cases planned	No. NS TCs. PL	
	15	No. of network signaling test cases executed	No. NS TCs. Exec.	

Wasif Afzal, Richard Torkar, Robert Feldt and Greger Wikstrand. SSBSE 2010

Analysis
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### SBST's Industrial Applications and Tools



Nikolai Tillmann, Jonathan de Halleux and Tao Xie. ASE 2014

### Analysis CST'15 Achievements, Open Problems and Challenges for SBST





# SBST Public Tools

AUgmented Search-based TestINg									
Project Home	Downloads	<u>Wiki</u>	Issues	Source					
Summary Peop	ble								
<ul> <li>Project Information</li> <li>Starred by 2 users Project feeds</li> <li>Code license New BSD License</li> <li>Labels</li> <li>C, Ocaml, Academic, Research, Tool</li> </ul>		AUSTIN is a structural test data generation tool (for unit tests) for project is to aid researchers in automated test data generation usi supports a random search, as well as a simple hill climber that is a If you've downloaded AUSTIN, please let me know. If you are usin then please use the following bibtex entry. @inproceedings {austin-lakhotia, Author = {Kiran Lakhotia and Mark Harman and Hamilton Gross},							
Members kiran.la@g	mail.com	Bookt Pages	title = {2nd s = {1011	International Symposium on Search Based Softw 10},					

### Kiran Lakhotia, Mark Harman, and Hamilton Gross. I&ST 2013

### **Analysis**

CST'15 Achievements, Open Problems and Challenges for SBST

the C language. If ing search-based augmented with a

ng AUSTIN as par

AUSTIN applied to real-world embedded automotive industry: Daimler, B&M Systemtechnik. Recommended for testing C.

are Engineering},





# SBST Public Tools

### Ev Suite

* :	OOO EvoSuite Test Generation: org.apache.commons.cli.Option	468
eTes publ	EvoSuite test suite generation	
1	Generating assertions	
}	Always run in background	
• // • /* * :	Cancel Details >> Run in Background	
	* 1 */ @ @Tes publ } //1 @ /* * 1	<pre></pre>

### Gordon Fraser and Andrea Arcuri. ESEC/FSE 2011

### Analysis CST'15 Achievements, Open Problems and Challenges for SBST

EvoSuite automatically generates test cases for Java code. An excellent and high recommended tool.









### More details in the keynote paper in your ICST proceedings

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### Achievements, open problems and challenges for search based software testing

Mark Harman, Yue Jia and Yuanyuan Zhang University College London, CREST Centre, London, UK

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**Annibale Panichella, Fitsum Meshesha Kifetew and Paolo Tonella Next Session: Reformulating Branch Coverage as a Many-Objective Optimization Problem** Analysis

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## SBST for Non-Functional Properties

SBET CST'15 Achievements, Open Problems and Challenges for SBST

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SBET CST'15 Achievements, Open Problems and Challenges for SBST







The Categories of Non-Functional Properties from 1996 to 2007

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**SBET** CST'15 Achievements, Open Problems and Challenges for SBST



The Categories of Non-Functional Properties from 1996 to 2014







SBET ICST'15 Achievements, Open Problems and Challenges for SBST



### Uh oh.





# Search based Energy Test (SBET)

A smartphone could consume more energy per year than a medium-sized refrigerator





# Search based Energy Test (SBET)

A smartphone could consume more energy per year than a medium-sized refrigerator

IT energy consumption rose 3% in 3 years



### Measure energy consumption as a fitness function



### **Efficiency**: will need to consider many different test cases

SBET **ICST'15** Achievements, Open Problems and Challenges for SBST







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**Efficiency**: will need to consider many different test cases

**Coarse Granularity:** Energy consumed per run overall





**Efficiency:** will need to consider many different test cases







**Coarse Granularity:** Energy consumed per run overall

Hawthorne Effect: Instrumentation may affect energy consumed


# Search based Energy Test (SBET)



Efficiency: will need to consider many different test cases





SBET **ICST'15** Achievements, Open Problems and Challenges for SBST

Coarse Granularity: Energy consumed per run overall

Hawthorne Effect: Instrumentation may affect energy consumed





#### **39th COW - Measuring, Testing and Optimising Computational Energy Consumption**





#### **39th COW** - Measuring, Testing and Optimising Computational Energy Consumption





#### **39th COW - Measuring, Testing and Optimising Computational Energy Consumption**



### Search Based Test Strategy Identification (SBTSI)

#### Move from finding specific inputs to finding strategies for finding inputs

**General CIT** Unknown CIT Solution Problems

### A hyperheuristic SBSTI for CIT

CST'15 Achievements, Open Problems and Challenges for SBST



### A co-evolutionary SBSTI for Mutation testing





#### AETG

#### GA IPOG

#### Simulated Annealing

### Tabu

### Hill Climbing

**ICST'15** Achievements, Open Problems and Challenges for SBST

#### A hyperheuristic SBSTI for CIT



A hyperheuristic SBSTI for CIT

#### **CIT Solutions**

#### AETG

### IPOG GA

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#### CIT Problem Characteristics

#### Specific structures

#### unconstrainted problems

#### constrainted problems

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#### Learning Combinatorial Interaction Test Generation Strategies using Hyperheuristic Search. Yue Jia, Myra Cohen, Mark Harman and Justyna Petke. ICSE 2015

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### Predator Testing

CST'15 Achievements, Open Problems and Challenges for SBST

Prey Bugs





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### Evolving





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#### Evolving

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#### Test data

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### Higher order mutants



#### increasingly prevalent regression testing was early adopter

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#### e.g. Yoo and Harman: ISSTA 2007











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MOSBAT





#### test case generation is still mostly single objective

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MOSBAT



Multi-objective Understanding: Debug Security policies

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# SBST's Challenges

#### Achievements, open problems and challenges for search based software testing

Mark Harman, Yue Jia and Yuanyuan Zhang University College London, CREST Centre, London, UK

Abstract-Search Based Software Testing (SBST) formulates testing as an optimisation problem, which can be attacked using computational search techniques from the field of Search Based Software Engineering (SBSE). We present an analysis of the SBST research agenda1, focusing on the open problems and challenges of testing non-functional properties, in particular a topic call 'Search Based Energy Testing' (SBET), Multi-objective SBST and SBST for Test Strategy Identification. We conclude with a vision of FIFIVERIFY tools, which would automatically find faults, fix them and verify the fixes. We explain why we think such FIFIVERIFY tools constitute an exciting challenge for the SBSE community that already could be within its reach.

#### I. INTRODUCTION

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It is widely believed that approximately half the budget spent on software projects is spent on software testing, and due to Turing [115], who suggested the use of manually thorough publication audit (for papers up to 2009), which to capture path conditions, solved using linear programming. excellent and detailed surveys of the SBST literature can be space probably dates back seven years earlier to 1962, when found elsewhere [2], [4], [55], [85], [126]. Therefore, rather a Cobol test data generation tool was introduced by Sauder than attempting another survey, we provide an analysis of [103]. Sauder formulates the test generation problem as one for future work and development.

this paper, on which the keynole was based is the work of all three authors. manually defined and not automatically constructed.

II. A BRIEF HISTORY OF SBST

Since the first paper on SBST is also likely to be the first paper on SBSE, the early history of SBST is also the early history of SBSE. SBSE is a sub-area of software engineering with origins stretching back to the 1970s but not formally established as a field of study in its own right until 2001 [51], and which only achieved more widespread acceptance and uptake many years later [38], [43], [100].

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# Testing (SBET).

We need more work on multi-objective test data generation techniques (MoSBaT).

We need to extend SBST to test non-functional properties. In particular, we need more work on Search Based Energy

We need Search Based Test Strategy Identification (SBTSI).









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#### Let me ask you something ...



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### Genetic Improvement: searching for improving modifications guided by testing

Mark Harman

GI

### Genetic Improvement of Programs



W. B. Langdon and M. Harman Optimising Existing Software with Genetic Programming. TEC 2015

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GI
# Genetic Improvement of Programs



Non-functional property Test harness

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Mark Harman

# Genetic Improvement of Programs



W. B. Langdon and M. Harman Genetically Improved CUDA C++ Software, EuroGP 2014

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Mark Harman

# Inter version transplantation



Non-functional property Test harness

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Mark Harman

# Inter version transplantation



Justyna Petke, Mark Harman, William B. Langdon and Westley Weimer Using Genetic Improvement & Code Transplants to Specialise a C++ program to a Problem Class (EuroGP'14)

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Mark Harman



# Real world cross system transplantation



Non-functional property Test harness

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Mark Harman

# Real world cross system transplantation



Earl T. Barr, Mark Harman, Yue Jia, Alexandru Marginean, and Justyna Petke Automated Software Transplantation (Tech Report). To appear.

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Mark Harman



# Memory speed trade offs



Non-functional property Test harness

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Mark Harman

# Memory speed trade offs



Non-functional property Test harness

Fan Wu, Westley Weimer, Mark Harman, Yue Jia and Jens Krinke Deep Parameter Optimisation Conference on Genetic and Evolutionary Computation (GECCO'15), To appear

Improve execution time by 12% or achieve a 21% memory consumption reduction

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# Reducing energy consumption



Non-functional property Test harness

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Mark Harman

# Reducing energy consumption



Bobby R. Bruce Justyna Petke Mark Harman Reducing Energy Consumption Using Genetic Improvement Conference on Genetic and Evolutionary Computation (GECCO'15), To appear

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# reduced by as much as 25%

GI

Mark Harman





# Grow and graft new functionality



Non-functional property Test harness

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Mark Harman

# Grow and graft new functionality



# The GISMOE challenge: **Constructing the Pareto Program Surface Using Genetic Programming to Find Better Programs**

Mark Harman<sup>1</sup>, William B. Langdon<sup>1</sup>, Yue Jia<sup>1</sup>, David R. White<sup>2</sup>, Andrea Arcuri<sup>3</sup>, John A. Clark<sup>4</sup> CREST Centre, University College London, Gower Street, London, WC1E 6BT, UK. <sup>2</sup>School of Computing Science, University of Glasgow, Glasgow, G12 8QQ, Scotland, UK. <sup>3</sup>Simula Research Laboratory, P. O. Box 134, 1325 Lysaker, Norway. <sup>4</sup>Department of Computer Science, University of York, Deramore Lane, York, YO10 5GH, UK.

# ABSTRACT

Optimising programs for non-functional properties such as speed, size, throughput, power consumption and bandwidth can be demanding; pity the poor programmer who is asked to cater for them all at once! We set out an alternate vision for a new kind of software development environment inspired by recent results from Search Based Software Engineering (SBSE). Given an input program that satisfies the functional requirements, the proposed programming environment will automatically generate a set of candidate program implementations, all of which share functionality, but each of which differ in their non-functional trade offs. The software designer navigates this diverse Pareto surface of candidate implementations, gaining insight into the trade offs and selecting solutions for different platforms and environments, thereby stretching beyond the reach of current compiler technologies. Rather than having to focus on the details required to manage complex, inter-related and conflicting, non-functional trade offs, the designer is thus freed to explore, to understand, to control and to decide rather than to construct.

# Categories and Subject Descriptors

D.2 [Software Engineering]

# General Terms

Algorithms, Design, Experimentation, Human Factors, Languages, Measurement, Performance, Verification.

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ASE'12, September 3-7, 2012, Essen, Germany. Copyright 2012 ACM XXX-X-XXXX-XXXX-date ...\$15.00.

# Keywords

SBSE, Search Based Optimization, Compilation, Non-functional Properties, Genetic Programming, Pareto Surface.

# 1. INTRODUCTION

Humans find it hard to develop systems that balance many competing and conflicting non-functional objectives. Even meeting a single objective, such as execution time, requires automated support in the form of compiler optimisation. However, though most compilers can optimise compiled code for both speed and size, the programmer may find themselves making arbitrary choices when such objective are in conflict with one another.

Furthermore, speed and size are but two of many objectives that the next generation of software systems will have to consider. There are many others such as bandwidth, throughput, response time, memory consumption and resource access. It is unrealistic to expect an engineer to decide, up front, on the precise weighting that they attribute to each such non-functional property, nor for the engineer even to know what might be achievable in some unfamiliar environment in which the system may be deployed.

Emergent computing application paradigms require systems that are not only reliable, compact and fast, but which also optimise many different competing and conflicting objectives such as response time, throughput and consumption of resources (such as power, bandwidth and memory). As a result, operational objectives (the so-called non-functional properties of the system) are becoming increasingly important and uppermost in the minds of software engineers.

Human software developers cannot be expected to optimally balance these multiple competing constraints and may miss potentially valuable solutions should they attempt to do so. Why should they have to? How can a programmer assess (at code writing time) the behaviour of their code with regard to non-functional properties on a platform that may not yet have been built?

To address this conundrum we propose a development environment that distinguishes between functional and nonfunctional properties. In this environment, the functional properties remain the preserve of the human designer, while the optimisation of non-functional properties is left to the machine. That is, the choice of the non-functional properties to be considered will remain a decision for the human software designer.

# Another keynote paper at ASE 2012

GI Mark Harman

<sup>&</sup>quot;This position paper accompanies the keynote given by Mark Harman's at the 27th IEEE/ACM International Conference on Automated Software Engineering (ASE 12) in Essen, Germany. It is joint work with Bill Langdon, Yue Jia, David White, Andrea Arcuri and John Clark, funded by the EPSRC grants SEBASE (EP/D050863, EP/D050618 and EP/D052785), GISMO (EP/I033688) and DAASE (EP/J017515/) and by EU project FITTEST (257574).



# Pareto Front







# Pareto Front

different non О functional properties have different pareto program fronts О 









# Why can't functional properties be optimisation objectives ?













# Optimisation



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# Optimisation



# 2.5 times faster but failed I test case?

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Mark Harman





# Optimisation





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# double the battery life but failed 2 test cases?

Mark Harman

# Achievements, open problems and challenges for search based software testing

Mark Harman, Yue Jia and Yuanyuan Zhang University College London, CREST Centre, London, UK

# Abstract-Search Based Software Testing (SBST) formulates testing as an optimisation problem, which can be attacked using computational search techniques from the field of Search Based Software Engineering (SBSE). We present an analysis of the SBST research agenda<sup>1</sup>, focusing on the open problems and challenges of testing non-functional properties, in particular a topic we call 'Search Based Energy Testing' (SBET), Multi-objective SBST and SBST for Test Strategy Identification. We conclude with a vision of F1F1VER1FY tools, which would automatically find faults, fix them and verify the fixes. We explain why we think such FIFIVERIFY tools constitute an exciting challenge for

# I. INTRODUCTION

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Search Based Software Testing (SBST) is the sub-area of Search Based Software Engineering (SBSE) concerned with software testing [2], [85]. SBSE uses computational search techniques to tackle software engineering problems (testing problems in the case of SBST), typified by large complex search spaces [58]. Test objectives find natural counterparts as the fitness functions used by SBSE to guide automated search, thereby facilitating SBSE formulations of many (and diverse) testing problems. As a result, SBST has proved to be a widely applicable and effective way of generating test data, and optimising the testing process. However, there are many exciting challenges and opportunities that remain open for further research and development, as we will show in this paper.

It is widely believed that approximately half the budget spent on software projects is spent on software testing, and therefore, it is not surprising that perhaps a similar proportion of papers in the software engineering literature are concerned with software testing. We report an updated literature analysis from which we observe that approximately half of all SBSE papers are SBST papers, a figure little changed since the last thorough publication audit (for papers up to 2009), which found 54% of SBSE papers concerned SBST [56]. Many excellent and detailed surveys of the SBST literature can be found elsewhere [2], [4], [55], [85], [126]. Therefore, rather than attempting another survey, we provide an analysis of [103]. Sauder formulates the test generation problem as one SBST research trends, focusing on open challenges and areas of finding test inputs from a search space, though the search for future work and development.

<sup>1</sup>This keynote was given by Mark Harman at the 8th IEEE International Conference on Software Testing, Verification and Validation (ICST 2015), but this paper, on which the keynote was based, is the work of all three authors.

# II. A BRIEF HISTORY OF SBST

Since the first paper on SBST is also likely to be the first paper on SBSE, the early history of SBST is also the early history of SBSE. SBSE is a sub-area of software engineering with origins stretching back to the 1970s but not formally established as a field of study in its own right until 2001 [51], and which only achieved more widespread acceptance and uptake many years later [38], [43], [100].

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# Summary

Isn't testing all about searching?

Searching for test cases **Searching for test application orders** Searching for patches

Searching for better programs guided by tests Genetic Improvement



# Achievements, open problems and challenges for search based software testing

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